



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : G11C 13/04, G11B 7/00, 7/24	A1	(11) International Publication Number: WO 97/01171 (43) International Publication Date: 9 January 1997 (09.01.97)
<p>(21) International Application Number: PCT/NO96/00155</p> <p>(22) International Filing Date: 24 June 1996 (24.06.96)</p> <p>(30) Priority Data: 952542 23 June 1995 (23.06.95) NO</p> <p>(71) Applicant (for all designated States except US): OPTICOM A/S [NO/NO]; Ski Næringspark, N-1400 Ski (NO).</p> <p>(72) Inventors; and (75) Inventors/Applicants (for US only): GUDESEN, Hans, Gude [NO/NO]; Tyrihansveien 5, N-1639 Gamle Fredrikstad (NO). NILSEN, Rolv, Møll [NO/NO]; Herfordtsgate 8, N-1532 Moss (NO). NÆRINGSRUD, Thormod [NO/NO]; N-1810 Slinu (NO). NORDAL, Per-Erik [NO/NO]; Hestehagen 18, N-1360 Nesbru (NO).</p> <p>(74) Agent: ONSAGERS PATENTKONTOR A/S; P.O. Box 265 Sentrum, N-0103 Oslo (NO).</p>	<p>(81) Designated States: AL, AM, AT, AU, AZ, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, US, UZ, VN, ARIPO patent (KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).</p> <p>Published <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i> <i>In English translation (filed in Norwegian).</i></p>	
<p>(54) Title: OPTICAL DATA STORAGE MEDIUM AND METHODS FOR ITS WRITING AND READING</p> <div data-bbox="337 1134 1258 1606"> </div> <p>(57) Abstract</p> <p>In an optical data storage medium with a storage area formed from a transparent, homogeneous base material and with a number of optically active structures at one side of the storage area, the optically active structures are diffractive optical elements which can focus a beam of light incident on the storage area on to one or more points in the storage area and/or a redirected beam of light or emitted light radiation from this or these points on to a point outside the optical storage medium. During writing/reading of data in the storage medium, the diffractive optical elements are used for focusing the write/read beam in order to generate a data carrying structure or read data stored in such a data carrying structure, respectively. By exploiting the special optical properties of diffractive optical elements, it is possible to achieve parallel writing/reading of data, possibly in several parallel storage layers in the optical storage medium or randomly distributed therein, the optical storage medium thus providing a genuine volumetric storage and a corresponding genuine volumetric accessing of the stored data.</p>		

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgyzstan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	KZ	Kazakhstan	SG	Singapore
CH	Switzerland	LI	Liechtenstein	SI	Slovenia
CI	Côte d'Ivoire	LK	Sri Lanka	SK	Slovakia
CM	Cameroon	LR	Liberia	SN	Senegal
CN	China	LT	Lithuania	SZ	Swaziland
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	LV	Latvia	TG	Togo
DE	Germany	MC	Monaco	TJ	Tajikistan
DK	Denmark	MD	Republic of Moldova	TT	Trinidad and Tobago
EE	Estonia	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	UG	Uganda
FI	Finland	MN	Mongolia	US	United States of America
FR	France	MR	Mauritania	UZ	Uzbekistan
GA	Gabon			VN	Viet Nam

Optical data storage medium and methods for its writing and reading

The invention concerns an optical data storage medium comprising a data storage area formed from a substantially transparent, homogeneous base material and with a number of optically active structures adjacent to one side
5 of the data storage area. The invention also concerns methods for writing of data according to the introduction to claims 13 and 14 together with methods for reading of data according to the introduction to claims 17 and 18 respectively. Furthermore the invention also concerns a method for parallel writing of data according to the introduction to claim 19 and a method for
10 parallel reading of data according to the introduction to claim 20. Finally the invention concerns a method for reading of data according to the introduction to claim 25.

The present invention is intended to be used in optical data storage media in the form of rotating discs, rectangular cards or sheets or tapes in the form of
15 strips or spools.

The present invention is especially intended to be employed in connection with a data carrying medium and a method for generating a data carrying structure in such a data carrying medium, as described in this applicant's simultaneously submitted Norwegian patent application no. xx-xxxx and to
20 which reference will be made here.

In digital optical data storage according to the state of the art a sharply focused laser beam is systematically scanned over the surface of the data carrying medium, typically a rotating disc, and the data content is deduced by recording changes in reflected light from the disc when the laser beam passes
25 microscopic pits or spots which have been encoded on to the medium. High data densities can be achieved when the pits or the spots are small and are located close together. The pits or spots which form the data carrying structures can either be moulded or pressed into the disc at the time of manufacture, or a scanning laser beam may be used to encode data into the
30 disc by means of short, intense bursts of light which cause the data carrying structures to be formed, e.g. in the form of pits.

This method of optical data storage and accessing has a number of drawbacks. A highly precise optomechanical system is required in order to

position the laser beam accurately along the track containing the data, and the data are read out serially. This entails mechanically operative restrictions and also reduces random access speed. The latter problem is particularly serious in many applications, and comprehensive research is currently in progress
5 with a view to the development of lighter optical head designs which permit faster mechanical positioning. Mechanically based methods, however, are not suited to the attainment of very high access rates and considerable resources have therefore been invested on research in order to develop addressing schemes for light beams based on acoustooptic or electrooptic effects. Since
10 such schemes now could be implemented in compact and preferably low-cost physical packages, integrated optical structures have been of particular interest to researchers.

Even though this ongoing research will eventually result in practical hardware, due to sequential access to the stored information and as a result of the
15 tracking method employed, the data transfer rates will be a serious problem. In order to eliminate this, research has been carried out on multi-track solutions where data are transferred in parallel by optical heads which write and read a number of adjacent tracks. Only a few neighbouring tracks can be covered in this fashion by a single servo-controlled optical head, and several
20 independently tracking heads are required in order to achieve higher speed. The degree of write and read parallelism achievable by such methods is severely limited by physical and cost restraints.

The object of the present invention is to avoid the above-mentioned problems associated with current technology for optical data storage as well as the
25 problems resulting from a number of previously proposed solutions. A further object is to be able to access large blocks of data in a data carrying medium in parallel and replace mechanical movement completely or partially by employing electronically based addressing and electronically based logic operations.

30 A particular object of the present invention is to achieve simple writing and reading of optically stored data in a large number, i.e. several hundreds to several thousands, of parallel channels and to obtain rapid random access of the data, in some cases with no mechanical motion involved.

It is a further object of the invention to be able to provide an inexpensive data carrying medium with high data density. Yet another object of the invention is that in a number of applications a laser source is not needed, but incoherent photo-emitters such as light emitting diodes (LEDs) will do.

- 5 Another object of the invention is that it should be able to match with any format whatever on the data carrying medium, whether be it discs, cards or tapes, besides permitting the use of very compact optical write/read hardware.

The above-mentioned objects are achieved according to the invention with an optical data storage area which is characterized in that the optically active
10 structures are diffractive optical elements arranged to focus a light beam incident on the data storage area on to one or more points in the storage area and/or a light beam or emitted light radiation redirected from this or these points to a point outside the optical storage medium respectively, together with methods for writing of data characterized by features which are
15 indicated in the characteristic of claims 13 and 14 respectively and methods for reading of data characterized by the features which are indicated in the characteristic of claims 17 and 18 respectively. A method for parallel writing of data according to the present invention is characterized by the features which are indicated in the characteristic of the independent claim 19, while a
20 method for parallel reading of data according to the present invention is characterized by the features which are indicated in the characteristic of the independent claim 20. Finally a further method for reading of data according to the present invention is characterized by the features which are indicated in the characteristic of the independent claim 25.

- 25 The invention will now be explained in more detail in connection with an account of the principle of diffractive optical elements as used in the present invention and in connection with embodiments, with reference to the accompanying drawing.

- 30 Fig. 1 illustrates optically active structures in the form of a matrix of diffractive optical elements DOEs.
Fig. 2a,b illustrates the principle of a diffractive optical element DOE or a zone-plate lens as used in the present invention.
Fig. 3a illustrates the profile of a zone in the diffractive optical element DOE in fig. 2b.

- Fig. 3b,c,d illustrates different methods for approximating or quantizing the phase function of the profile in fig. 3a.
- Fig. 4a illustrates a zone-plate lens considered as a diffraction grating.
- Fig. 5 illustrates how an incident plane wave is focused by a
5 diffractive optical element in a substrate.
- Fig. 6 is a schematic section through an optical data storage medium according to the present invention.
- Fig. 7 is a schematic illustration of a method for parallel writing of data according to the present invention.
- 10 Fig. 8 is a schematic illustration of a method for parallel reading of data according to the present invention.
- Fig. 9a,b is a schematic illustration of the principle of focusing laser beams on the same plane according to the present invention.
- Fig. 10 is a schematic illustration of a method for parallel accessing of
15 several storage layers in the data storage medium according to the present invention.

A fundamental feature of the present invention is the use of optically active structures in the form of diffractive optical elements DOEs in the data carrying medium, the diffractive optical elements DOEs acting as a multitude
20 of microscopic lenses. The actual data carrying medium according to the present invention thereby becomes in effect an integral part of the optical systems which shape and guide the light which is used for writing and read-out of data. Thus a number of the restrictions which are encountered in traditional optical data storage methods are eliminated, and the possibility is
25 opened up of achieving high write/read performance with practical and inexpensive hardware.

Diffractive optics are based on diffraction, as opposed to refraction or reflection of light. In many instances DOEs can take the place of conventional refractive optics such as lenses or prisms, thus providing a
30 substantial reduction in cost or size. In a number of cases diffractive optics may provide better performance than refractive elements, e.g. achromatization, or even provide opportunities beyond the reach of conventional optical elements based on refraction or reflection.

Figure 1 illustrates a matrix of diffractive optical elements DOEs. Each DOE
35 consists of carefully designed topographic structures which can be

manufactured and reproduced by a wide range of processes such as moulding, embossing, dry or wet etching.

5 A description will now be given of how diffractive optical elements DOEs are used in the optical data storage medium according to the invention in order to achieve the desired data storage capacity. The data storage capacity will be dependent on the maximum density which can be obtained by non-overlapping focused areas or focal spots in the data carrying medium's substrate behind the DOE.

10 The design principle for a diffractive optical element DOE or a zone-plate lens is illustrated in fig. 2. If it is assumed for the sake of simplicity that a plane wave with the wavefront parallel to the planar surface of the lens illustrated in fig. 2a is incident from below, only the hatched areas in fig. 1a will influence the transmitted wavefront, apart from a phase factor of $2n\pi$, where $n\pi$ is an integer. Consequently the lens illustrated in fig. 2b will
15 produce the same transmitted wavefront as the lens in fig. 2a, apart from the fact that there is a discontinuous phase jump of 2π between two different zones in the lens in fig. 2b. A lens such as that illustrated in fig. 2b is described as a diffractive optical element DOE or a zone-plate lens. It is distinguished from a Fresnel lens in that the latter has a random phase jump
20 from one zone to another due to inaccuracies in the manufacturing process, with the result that the wave fields which arise from the different zones do not provide a constructive interference in the focal area. Consequently the diffraction-limited resolution of the Fresnel lens is determined by the width of the zone, while the resolution of a zone-plate lens is determined by the
25 diameter of the lens.

The actual profile of one of the zones in fig. 2b is illustrated in fig. 3a. In practice, however, it can be easier to use graduated zone profiles, as illustrated in fig. 3b and 3c. The number of steps in the graduated profile is described as the number of quantizing levels for the phase function. It is
30 evident that when the number of quantizing levels becomes infinitely large, a continuous profile can be obtained like that in fig. 3d. The principle for the design of a zone-plate lens which will provide an optimum image of a point on the axis is that the optical path length from the object point to the image point via each zone in the lens should be the same as the direct optical path

length between the object and the image point, apart from an integer number of wavelengths.

A DOE or zone-plate lens is illustrated in a top view in fig. 4a and a sectional elevation in fig. 4b respectively. It will be seen that the zone-plate lens consists of a number of concentric, annular openings, each ring being assigned a specific phase and amplitude value. Moreover it is well known that zone-plate lenses have focal points of a higher order, with the result that only a portion of the incident energy ends up in the desired image. It is also well known that the efficiency of zone-plate lenses can be increased by increasing the number of quantizing levels for the phase function. It has been shown that it is possible to obtain intensity levels of 33, 57 and 67% in the main lobe of the aberration-free image for 2, 3 and 4 quantizing levels respectively. Recently, however, a new encoding method called the RSIDO method has been developed, which is said to give a measured diffraction efficiency of 90%. Otherwise a disadvantage with the zone-plate lens is that it has major chromatic aberrations. However, as long as the illumination is relatively monochromatic, a moderate alteration in the illumination wavelength in relation to the wavelength used in the construction of the zone-plate lens will not lead to a substantial deterioration in the quality of the image. In general the field of vision is also restricted by coma, astigmatism and field curvature, but coma can be avoided by placing the zone-plate lens or DOE on a spherical surface.

The ray path in a zone-plate lens or DOE can be found by considering it as a diffraction grating with a different grating period and by constructing geometrical beams on the basis of the grating equation. With reference to the schematically illustrated zone-plate lens in fig. 4a, b, it can be considered as illustrated in fig. 4a as a circular diffraction grating with a period which decreases towards the edge of the lens. In the zone-plate lens illustrated in fig. 4b the field is connected to an incident geometrical beam, locally a plane wave. The direction of the transferred geometrical beam corresponding to first order diffraction is given by the grating equation

$$\sin\theta_i - \sin\theta_r = \frac{\lambda}{d} \quad (1)$$

where λ is the wavelength, d the local value for the grating period and θ_i and θ_t are the angles between the normal geometrical beam on the grating and the incident and transmitted geometrical beam respectively. Since d decreases towards the edge of the lens, it can be seen in fig. 4b that the outermost beams receive a greater deflection than the beams near the centre. By causing the grating period to decrease in a specific manner, all the beams can be directed towards a common focal point. It should be noted that different scales are employed along the horizontal and vertical axes respectively in fig. 4b.

10 The geometry of the ray path in a DOE is illustrated schematically in fig. 5. A monochromatic plane wave with a given wavelength λ_0 in air has an angle of incidence θ to the optical axis for a DOE which is provided in contact with a planar substrate with the refraction index. The diameter of DOE is indicated by D and the secondary focal length for the combination
15 DOE/substrate is indicated by f . For different combinations of the f /number, diameters D for the DOE and refraction indices n for the substrate, the full width of the focal spot at half maximum intensity (FWHM) could be determined as follows. It was found that FWHM varied between $0.33 \mu\text{m}$ and $0.42 \mu\text{m}$ on the optical axis and between $0.70 \mu\text{m}$ and $0.90 \mu\text{m}$ at the edge of
20 the field of vision. The transmitted intensity on the optical axis was approximately 0.9 and the transmitted intensity at the edge of the field of vision approximately 1/10 thereof. Thus FWHM is approximately the same for the DOE as for refractive lenses in the form of microspheres, while the intensity drops off more quickly towards the edge of the field of vision for the DOE. However, it is an advantage that for a given diameter the DOE
25 offers the possibility of a relatively free choice of the f /number and the refraction index for the substrate, since both of these values will influence the diffraction-limiting FWHM. Another advantage of DOEs is that they have negligible field curvature, with the result that focus on the optical axis and
30 focus at the edge of the field are approximately on the same plane. An analysis of the diffraction-limiting focusing characteristics for a DOE in contact with a planar substrate shows that for a DOE with a fixed diameter FWHM is inversely proportional to the substrate's refraction index and proportional to the f /number of the DOE in the substrate.

Finally it should be mentioned that diffractive optical elements DOEs have a large dispersion, the focal length of a DOE being highly dependent on the wavelength of the light.

5 The design of a data carrying medium according to the present invention which employs diffractive optical elements DOEs or zone-plate lenses designed as described above will now be considered in more detail in connection with fig. 6 which schematically illustrates a part of the data medium, with a dense matrix of diffractive optical elements DOEs on the surface of the data medium. Each DOE acts as a small lens and incident light 10 is focused as mentioned above and directed towards a storage area, i.e. the information-bearing area which for the sake of brevity will be described as the bit layer in the following. Each bit of information is represented by how the material in the bit layer affects or is affected by light which strikes it during the illumination phase for the data. Assuming, e.g., that the data 15 carrying medium is as illustrated in fig. 6, light which is incident on the DOE at the front is focused at the rear of the DOE which is coated by a thin film of a tellurium alloy. The latter constitutes the bit layer or the storage layer and has low light transmission except in spots where it has been exposed to a short, high-intensity light pulse during the writing phase. The 20 information content in this part of the data carrying medium which is associated with each diffractive optical element DOE is therefore represented by a set of light-transmitting or non-transmitting bit-allocated areas or structures in the bit layer which will, e.g., appear as bright or dark when they are read in transmission. Each data position in the data carrying medium is associated 25 with a unique address which can be accessed through the DOE during writing and reading in two independent steps. The position of a given DOE on the surface of the data carrying medium is defined by an x,y coordinate, e.g. the position of its chromatic centre in relation to a reference origin on the data carrying medium, and the position of a spot in the bit layer related to its 30 associated diffractive element DOE is defined by the direction of incidence of the light which is focused on this point, for example defined in standard polar coordinates θ , ϕ . Thus the complete address would be x, y, θ , ϕ .

35 In order to achieve as high a data storage density as possible in the medium, the spots or the data carrying structures must be as small as possible, and they should be arranged as closely together as possible under each DOE. Furthermore the "dead zone" between groups of data carrying structures

accessed through different, but neighbouring DOEs should be minimized. The latter requirement imposes a link between the position pattern of each data carrying structure under each DOE, and the shape and the relative positions of DOEs on the medium's surface. It should be noted that very small data
5 carrying structures or spot sizes can be achieved with DOEs which are several orders of magnitude larger than the data carrying structures. Moreover a large range of sizes of DOE may give almost the same average size of the data carrying structure and hence the same average local data storage density in the bit layer.

10 In the latter case a large DOE should be connected to a large number of positions of data carrying structures, thus implying more densely spaced angular addressing positions θ , ϕ for incident light during reading and writing. As will be discussed later for optimized media, increasing the size of the DOE involves a reduction in the precision of spatial addressing x , y for
15 each DOE, and this has to be weighed up against higher precision in the angular coordinates θ , ϕ .

As an example it can be mentioned that a DOE which occupies an area of $2\,500\,\mu^2$ typically can be assigned 10 000 or more data carrying structures, as was illustrated in the above, has a diameter of $0.3\text{--}0.7\,\mu$ and is separated by
20 angular addressing shifts in θ and ϕ down to $0.5\text{--}1.0^\circ$. If the linear dimensions of the DOE were reduced by a factor N , the angular separation between neighbouring data carrying structures must be increased by approximately the same factor, while the number of data carrying structures associated with each DOE is reduced by a factor of N^2 .

25 In certain embodiments of data carrying media according to the invention writing and reading can take place by means of the interaction of light with a thin film, in close analogy with conventional optical storage media. Indeed, films developed for conventional media of the type "write once, read many times" (WORM) as well as for rewriteable media can be directly implemented
30 in the data storage medium according to the present invention. The distinguishing feature between the present invention and the other known techniques resides in how the light is guided and focused on to the bit layer and the consequences resulting therefrom.

Writing

During writing a brief and intense light pulse is directed towards the designated DOE at the coordinate x, y in the designated direction θ, ϕ . In order to speed up the writing process, several or all directions associated with
5 DOE are flashed simultaneously or in rapid sequence, e.g. by means of a flash-illuminated spatial light modulator (SLM) or a cluster laser (Vertical Cavity Surface Emitting Laser, VCSEL), as illustrated in fig. 7. This effectively corresponds to parallel-track writing on a massive scale, as will be more closely described in the following. Alignment tolerances for the writing
10 beams relative to each DOE depend on the explicit design and the performance parameters in each application, but are generally much wider than those which apply in traditional optical data storage schemes. In the latter case a tracking precision is required of under $1 \mu\text{m}$ in all three dimensions, while the positioning tolerance with a DOE may be one or two orders of magnitude
15 more lax.

Reading

The physical layout of the data carrying medium, combined with hierarchical (x, y) (θ, ϕ) addressing qualitatively open up new opportunities for simple, high-speed random access and transfer of data. Instead of a sequential reading
20 of a bit string along a track by means of a tightly focused laser beam, large scale parallel reading can be implemented by imaging large data blocks directly from the data carrying medium on to a matrix detector.

One embodiment of the invention is illustrated schematically in fig. 8 where collimated light at an angle of incidence θ, ϕ is directed simultaneously at a
25 large number of DOEs, causing the data carrying medium to display the θ, ϕ address bit status at each of the illuminated DOEs. The latter are typically spaced at relatively large intervals, $30\text{-}100 \mu\text{m}$, on the surface of the data carrying medium and can therefore be easily resolved by wide field, long depth of field optics which image the θ, ϕ bit status at each DOE on to a
30 matrix detector as illustrated. This is generally possible without a focusing servo, even with media which deviate considerably from planarity. The maximum depth of field for an optical system which resolves a $50 \mu\text{m}$ feature at an illumination wavelength of 480 nm is 10 mm . On the other hand if bit status were to be assessed by direct imaging of the bit pattern in a
35 simple planar layer without a DOE, a bit-to-bit spacing of less than $1 \mu\text{m}$ would entail a depth of field approximately equal to $3 \mu\text{m}$ and read-out on a

large scale with simultaneous imaging on to a matrix detector would be impossible in practice, even with a focus servo. A method of circumventing this problem is provisionally described in US-PS no. 4 745 484 (J. Drexler & J.B. Arnold) which indicates a non-simultaneous imaging sequence in several
5 distance steps.

The image formed on the matrix detector under illumination at θ_1, ϕ_1 containing bit status at all addresses (x, y, θ_1, ϕ_1) in the medium within the field of view, is transferred into the read device's electronic system for further processing, and the detector is cleared for a new read-out cycle, this time at
10 read-out angle θ_2, ϕ_2 . In turn, this yields the information content at all addresses (x, y, θ_2, ϕ_2) within the field of view. The cycle is repeated until all desired addresses in the data carrying medium have been read.

The above-described scheme of angle-multiplexed read-out from a planar medium is superficially similar to angle-multiplexed holographic memories
15 and in certain respects similar to a scheme based on refractive or reflective structures that direct and focus light on to a burn film as described in Norwegian patent application no. 90-0443 (P.-E. Nordal). As will be amplified in subsequent paragraphs, however, the use of DOEs according to the present invention provides technological opportunities and advantages with regard to
20 performance and cost benefits which are not otherwise obtainable.

In connection with the account of the principle of DOEs in the above, it was demonstrated how small focal spots were achievable, given by the parameter FWHM. The size of the focal spots or FWHM when DOEs are used to focus
25 in a bit layer or a storage layer are decisive for the achievable data density in this layer. Computations for relevant data medium designs and operative parameters such as light wavelength show that the spot size is diffraction-limited or near diffraction-limited across large portions of the area under each DOE. In specific terms this implies, e.g., that a correctly made DOE with a diameter of 50 μ illuminated at 450 nm wavelength can create a paraxial
30 focal spot, i.e. on the optical axis with a diameter of 0.33 μ m FWHM when the f/number is 1 and the refraction index in the substrate 1.6. At off-axis positions, i.e. with an angle of incidence $\theta > 0^\circ$ the focal spots are influenced by aberration phenomena in the lens, and at $\theta = 30^\circ$ the focal spot has increased to 0.61 μ m. As already mentioned, the curvature of the image field
35 is very small, unless the DOE is built on a spherical surface in order to avoid

coma. In that case the stated dispersive properties of the DOE can be exploited in order to remove field curvature.

As mentioned, a data carrying medium with diffractive optics provides flexibility and opportunities which have no analogy when using refractive or reflective optical systems. As already mentioned, this means that diffractive optics provide full freedom to select both the f /number and the refractive index of the substrate, and hence the size of the focal spots, in contrast to the case with spherical refractive lenses.

As mentioned, a striking aspect of diffractive optics is their very large dispersion, i.e. the focal length of the diffractive lens is highly dependent on the wavelength of the light. Thus while optical materials for refractive lenses exhibit an index of refraction change with wavelength which typically causes lens focal length to change by a relative value of 1% across the visible spectrum, the change is 40-50 times as large for diffractive lenses, corresponding to direct inverse proportionality between focal length and the wavelength of the light. This has obvious negative implications for applications where a stable, monochromatic light source is not available due to technical or cost restraints, or where it is desired to create images with polychromatic light. In the present invention monochromatic light can be used and the wavelength tolerance of storage media with DOEs is compatible with relevant light sources such as semiconductor lasers and light emitting diodes LED. Thus by proper choice and variation of the wavelength it becomes possible to shift the focal point position inside the substrate in a controlled manner. In the present invention this can be exploited in several ways.

Correction for image field curvature.

This is illustrated in fig. 9a, where the bit layer is planar, but the image field in monochromatic light forms a spherical surface as indicated by the broken lines. Thus the focal spots created on the planar bit layer are formed and enlarged due to their position outside the optimal focal distance. Since the focal distance depends on the wavelength of the light, it should be noted that wavelength tuning of an incident monochromatic light beam as a function of incidence angle can be used to position the focus in the bit layer, e.g. as indicated in fig. 9b. The basic principle may be implemented either by matrices of fixed-wavelength light sources, or with tunable light sources.

Simultaneous accessing of several bit layers by means of wavelength tuning.

Since the focal distance can be tuned by adjusting the light wavelength, it becomes possible to form data carrying structures in layers at different depths as shown in fig. 10. A basic factor making such a scheme practical is the large dispersion in the DOE. In order to avoid crosstalk between different layers, they must be separated by at least a distance s , cf. fig. 10. The minimum acceptable value of the separation s depends on several factors such as the writing characteristics of the bit layer film, the required contrast and the acceptable crosstalk level. The latter depends in turn on whether the data content in each focus spot is enhanced by, e.g., greylevel coding. Thus, in designing for the highest possible ratio between data density and write/read capacity, there exists a trade-off between the code levels in a greylevel encoding on the one hand and simultaneous writing/reading of several bit layers on the other hand.

A simple assessment of the possibilities can be made by referring to fig. 10, assuming that a focal spot of negligible size can be employed in the lower of the bit layers shown. Then the diameter d_f of the focal spot for the converging light which passes through the upper bit layer will be approximately

$$d_f = D \frac{s}{f} \quad (2)$$

where D is the diameter and f the focal length of the microlens. Since the focal length of diffractive optical elements DOEs is inversely proportional to the light wavelength λ , one has for a wavelength change of magnitude

$$\frac{\Delta \lambda}{\lambda} = \frac{s}{f} \quad (3)$$

It may now be demanded that d_f should be so large that the light intensity in the bit layers which are out of focus (upper bit layer in fig. 10) is reduced by a certain factor in relation to that at optimum focus. Neglecting absorption in the bit layer and assuming that $d_f = 2.0 \mu\text{m}$, which gives a reduction in intensity by a factor of 16 if the focal spot diameter is $0.5 \mu\text{m}$, one finds

$$\frac{\Delta \lambda}{\lambda} = \frac{d_f}{D} \quad (4)$$

This means that a wavelength change of 4% is required in this specific case, i.e. for example an increase from 480 nm wavelength to 500 nm wavelength. If the light is kept within the visible or near visible spectrum, a number of bit layers or storage layers can be used, each of which is addressed by
5 illumination at its assigned wavelength, e.g., assuming 4% separation between neighbouring wavelengths: 460 nm, 479 nm, 498 nm, 518 nm, 539 nm, 561 nm, 584 nm, 608 nm, 633 nm, 659 nm, 686 nm, 714 nm, 743 nm and 773 nm. In this example there are 14 layers, which gives a 14-fold increase in storage capacity compared to a single storage layer, provided data density in
10 each layer is the same in both cases.

This multilayer storage concept resembles somewhat certain already known schemes for data storage in two or more parallel layers on discs, but with an important difference. In the present invention addressing of each layer takes place by wavelength tuning of the light, while the known schemes are all
15 based on mechanical positioning of the write/read optics by means of a servo-controlled actuator. Thus, in the present invention mechanical complexity is avoided while at the same time wavelength tuning provides extremely fast random access.

A well-known problem with multilayer storage is that light must transverse
20 intervening bit layers as it propagates into the medium to strike the relevant bit layer or storage layer. As light propagates from this layer towards the detector, the same intervening layers must be traversed again (with reading in reflection), or layers on the opposite side of the relevant storage layer have to be traversed (with reading in transmission). This problem has been dealt with
25 previously by IBM, who concluded that 10 layers should be feasible in practical systems, by careful balancing of the reflectivity in each layer (reading of the data medium in reflection). It is reasonable to expect that the data medium read in transmission will generally be less demanding in this respect.

30 The optical storage medium according to the present invention can be so designed that ten storage layers of 2 μm thickness together form a sandwich structure or a stack of storage layers which extend 10 μm on each side of the central layer. A number of different structures can be implemented or generated within this volume.

- 5 (1) Each layer may be created by the writing beam generating data carrying structures, i.e. bit points which define bit layers inside an initially homogeneous block of 20 μm thickness, in which case each data carrying structure will actually be a small volume element corresponding to a high-intensity volume element in the focused write beam.
- 10 (2) Alternatively a sandwich structure of separate layers is built into the data storage medium during manufacture. Each layer may then be given a specific spectral response, for example by embedding dye molecules, which matches the wavelength of the light which comes into optimum focus in that particular storage layer. Thus, the response may be selective absorption in a narrow absorption band in the unwritten state of the storage layer, changing to low absorption in the written spot (bleaching). If the absorption bands are narrow and non-overlapping, all other layers except the relevant one will appear transparent to the light at this wavelength, thus removing contrast and crosstalk problems.
- 15

With regard to the diffractive optical elements used, these are currently available from several producers and are supplied with the quality and dimensions necessary for the present invention.

20 It will be seen from the above that the optical data storage medium according to the present invention with the use of diffractive optical elements permits a genuine volumetric storage and accessing of data in a storage area, where the data can be stored in randomly chosen, but uniquely addressable positions in a volume of the storage area or also be arranged in specific storage layers in the storage area. In both cases, accessing of the stored data can also be performed randomly and volumetrically.

25

PATENT CLAIMS

1. An optical data storage medium comprising a data storage area formed by a substantially transparent homogeneous base material and with a number of optically active structures adjacent to one side of the data storage area,
5 characterized in that the optically active structures are diffractive optical elements arranged to focus a light beam incident on the data storage area on to one or more points in the storage area and/or a redirected light beam or emitted light radiation from this point or these points on to a point outside the optical storage medium.
- 10 2. An optical data storage medium according to claim 1, characterized in that the diffractive optical element is a zone-plate lens.
3. An optical data storage medium according to claim 1 or 2, characterized in that the storage area is provided between a transparent surface layer and a transparent substrate.
- 15 4. An optical data storage medium according to claim 3, characterized in that diffractive optical elements are provided on the surface layer.
5. An optical data storage medium according to claim 3, characterized in that diffractive optical elements are embedded in the surface
20 layer.
6. An optical data storage medium according to claim 3, characterized in that diffractive optical elements are formed in one piece with the surface layer.
7. An optical data storage medium according to claim 3,
25 characterized in that between the surface layer and the storage area there is provided an opaque layer, the opaque layer being destructible through absorption of radiant energy.
8. An optical data storage medium according to one of the preceding claims,

characterized in that the storage medium is designed in the form of a tape, a disc or a chip, and that the diffractive optical elements are arranged on the surface of the tape, disc or chip.

5 9. An optical data storage medium according to one of the preceding claims,
characterized in that diffractive optical elements are arranged in rows and columns, the diffractive optical elements thus forming a matrix.

10 10. An optical data storage medium according to one of the preceding claims,
characterized in that the storage area comprises one or more storage layers which form one or more distinct storage planes and that the storage layer or layers comprises fluorescent dye molecules embedded in the base material which forms the storage layers, the dye molecules in each individual storage layer having a distinct spectral response matching the wavelength of the light.
15 beam focused on to this storage layer by the diffractive optical element.

11. An optical data storage medium according to claim 10,
characterized in that one or more of the storage layers are partially reflecting or transmitting layers.

20 12. An optical data storage medium according to claim 10,
characterized in that the storage layers are wavelength-dependent reflecting or transmitting layers.

13. A method for writing of data in an optical storage medium according to claims 1-13,
characterized by directing a laser beam on to a diffractive optical element on
25 the optical storage medium, thus focusing the laser beam through the diffractive optical element on to a specific point in the storage area, and by means of the energy emitted from the laser beam in the focal point to effect a physical or chemical change in the material in the virgin storage area at this point, whereby a data carrying structure is created, and by assigning to a data
30 carrying structure a datum whose value corresponds to the degree of physical or chemical change in the material in the data carrying structure, this degree being determined by modulating the laser beam according to a predetermined modulation procedure.

14. A method for writing of data in an optical data storage medium according to claims 1-13, and wherein a wavelength-tunable laser is employed in the method,
characterized by directing a laser beam on to a diffractive optical element on
5 the optical storage medium, by tuning the laser beam's wavelength in such a manner that the laser beam is focused through the diffractive optical element on to a specific point in the storage area, and by means of the energy emitted from the laser beam in the focal point to effect a physical or chemical change in the material in the virgin storage area at this point, whereby a data
10 carrying structure is created, and by assigning to a data carrying structure a datum whose value corresponds to the degree of physical or chemical change in the material in the data carrying structure, this degree being determined by modulating the laser beam according to a predetermined modulation procedure.
- 15 15. A method according to claim 14, wherein the storage area is a substantially homogeneous base material,
characterized by tuning the laser beam's wavelength in such a manner that the degree of tuning defines one or more random storage layers in the homogeneous base material.
- 20 16. A method according to claim 14, wherein the storage area comprises a plurality of stacked storage layers,
characterized by tuning the laser beam's wavelength in such a manner that it is focused on to a point in a specific storage layer where it creates the data carrying structure.
- 25 17. A method for reading of data in an optical data storage medium according to claims 1-12, wherein the storage medium comprises data carrying structures generated by the method according to claim 13,
characterized by directing a light beam on to a diffractive optical element on
the optical data storage medium in such a manner that the light beam is
30 focused on to a specific data carrying structure in the storage area, by effecting by means of the energy emitted from the light beam in the focal point an optically detectable response from the data carrying structure, the detectable response corresponding to the value of the datum stored in the data carrying structure, and by focusing the optically detectable response through

the diffractive element on to an optical detector provided outside the optical storage medium.

18. A method for reading of data in an optical data storage medium according to claims 1-12, wherein the storage medium comprises data carrying structures generated by the method according to claims 14-16, characterized by directing a light beam on to a diffractive optical element on the optical data storage medium, by tuning the light beam's wavelength in such a manner that it is focused on to a specific data carrying structure in the storage area, by effecting by means of the energy emitted from the light beam in the focal point an optically detectable response from the data carrying structure, the detected response corresponding to the value of the datum stored in the data carrying structure, and by focusing the optically detectable response through the diffractive element on to an optical detector provided outside the optical storage medium.

19. A method for parallel writing of data in an optical storage medium according to claims 1-12, characterized by directing two or more laser beams emitted by a laser device which comprises two or more separately activatable laser elements, through an optical device and with different angles of incidence on to a diffractive optical element on the optical storage medium, by tuning each individual laser beam's wavelength in such a manner that the laser beam is focused through the diffractive optical element on to the same plane, this plane corresponding to a specific storage layer in the storage area, and by effecting by means of the energy emitted from each laser beam in the focal point a physical or chemical change in the material in the virgin storage layer in each focal point in this plane, whereby there is formed a number of data carrying structures in this plane corresponding to the number of laser beams, and by assigning to each data carrying structure a datum whose value corresponds to the degree of physical and chemical change in the data carrying structure, this degree being determined by modulating the respective laser beam according to a predetermined modulation procedure.

20. A method for parallel reading of data in an optical storage medium according to claims 1-12, wherein there is employed a method for writing of data in an optical storage medium according to claim 14 or for parallel writing of data in an optical storage medium according to claim 19,

characterized by directing one or more beams of light from an illumination device which comprises one or more selectively activatable light sources with fixed or tunable wavelength, through an optical device and on to one or more diffractive optical elements on the storage medium, by effecting an optically detectable response from one or more data carrying structures in the storage area, and by focusing the optically detectable response through a further optical device on the opposite side of the data storage medium and on to optical detector elements in an optical detector device, the detected optical response corresponding to the value of the datum which is assigned to the respective data carrying structure.

21. A method according to claim 20, characterized in that a plurality of light sources are employed in the illumination device in order to direct a number of beams of light corresponding to the employed light sources through the optical device, and that the individual beams are focused through one or more diffractive optical elements at different angles of incidence, for parallel generation of an optically detectable response from a number of data carrying structures assigned to one and the same diffractive element.

22. A method according to claim 21, characterized in that the light sources are so arranged in the illumination device that they form a matrix.

23. A method according to claim 21 or 22, characterized in that the individual beams of light from the illumination device are simultaneously tuned to different wavelengths for parallel generation of an optically detectable response from a number of data structures which are located on different planes or storage layers in the optical storage area, with the result that in addition to a parallel read-out of data stored in data carrying structures on the same plane or storage layer, a parallel read-out is also obtained of data stored in data carrying structures on different planes or storage layers.

24. A method according to one of the claims 20-23, characterized in that optical filters are employed for the read-out of data.

25. A method for reading of data from an optical storage medium according to claims 1-12, wherein the data storage medium comprises storage layers with fluorescent dye molecules embedded in the base material which forms the storage layers,
- 5 characterized in that for read-out of data light is employed with a wavelength tuned to the spectral response for the fluorescent dye molecules provided in each individual storage layer.

1/9

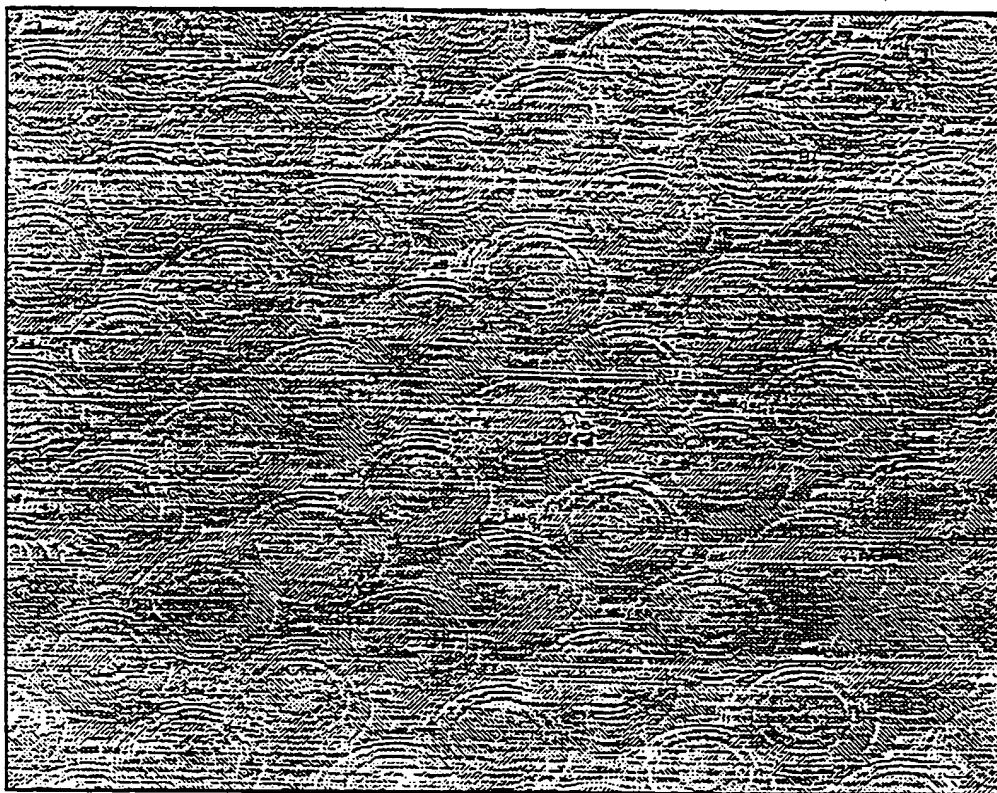


Fig. 1

2/9

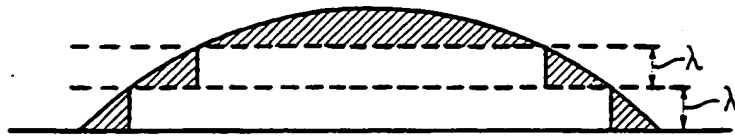


Fig. 2a



Fig. 2b

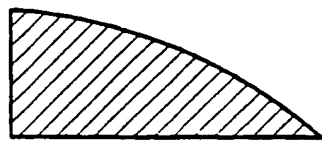


Fig. 3a

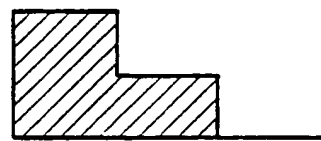


Fig. 3c

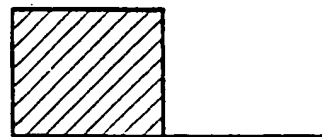


Fig. 3b

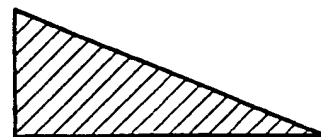


Fig. 3d

3/9

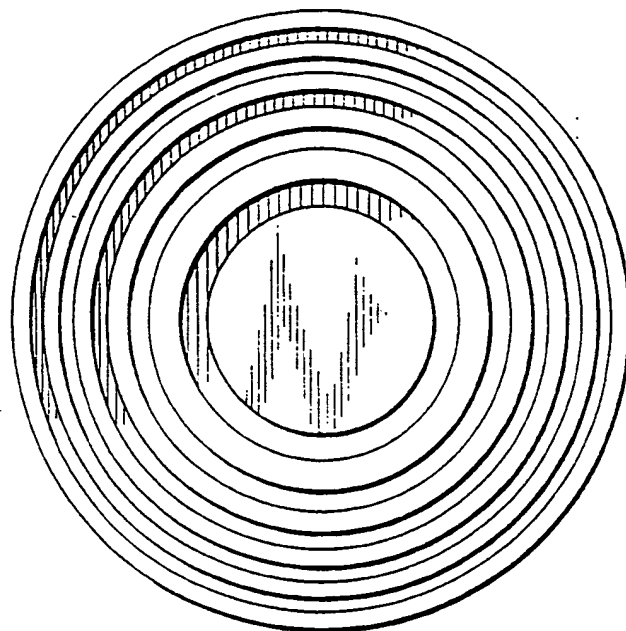


Fig. 4a

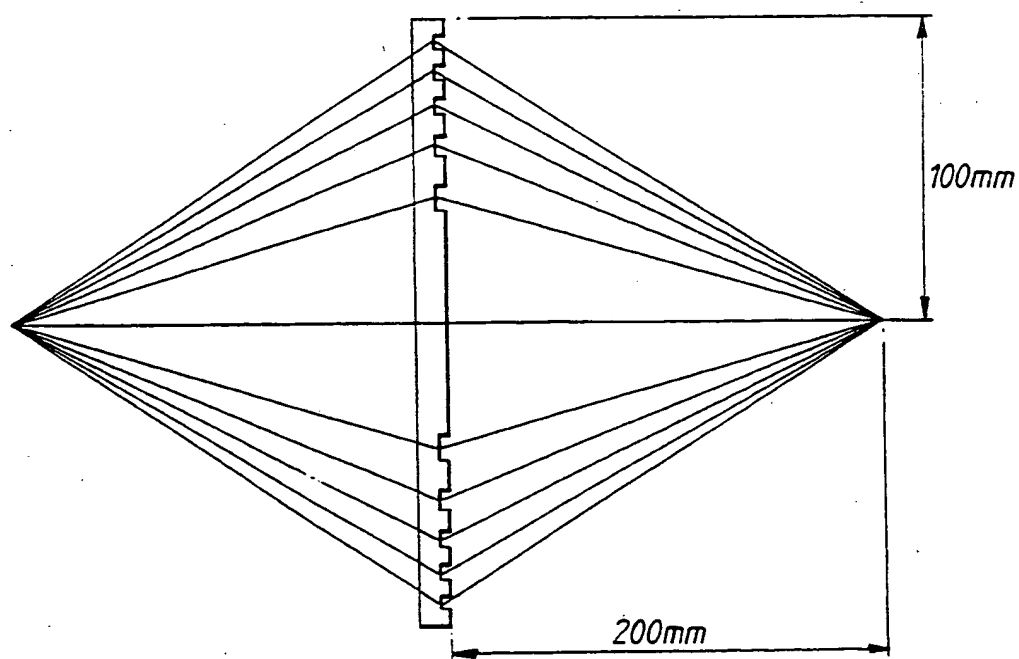


Fig. 4b

4/9

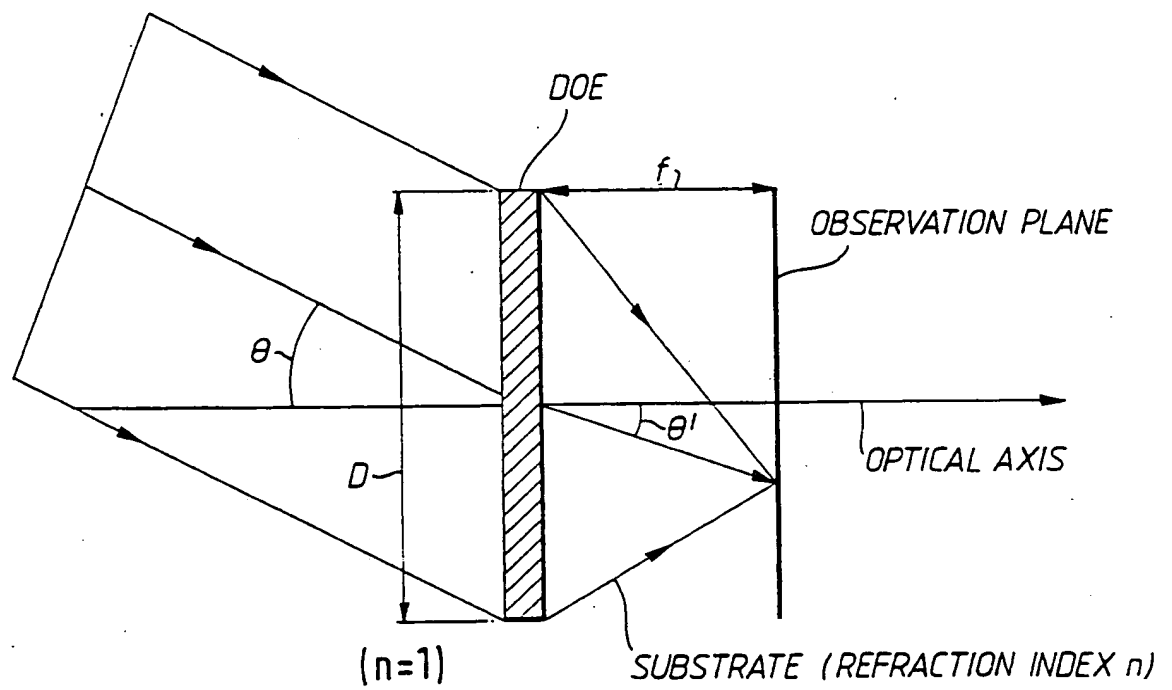


Fig. 5

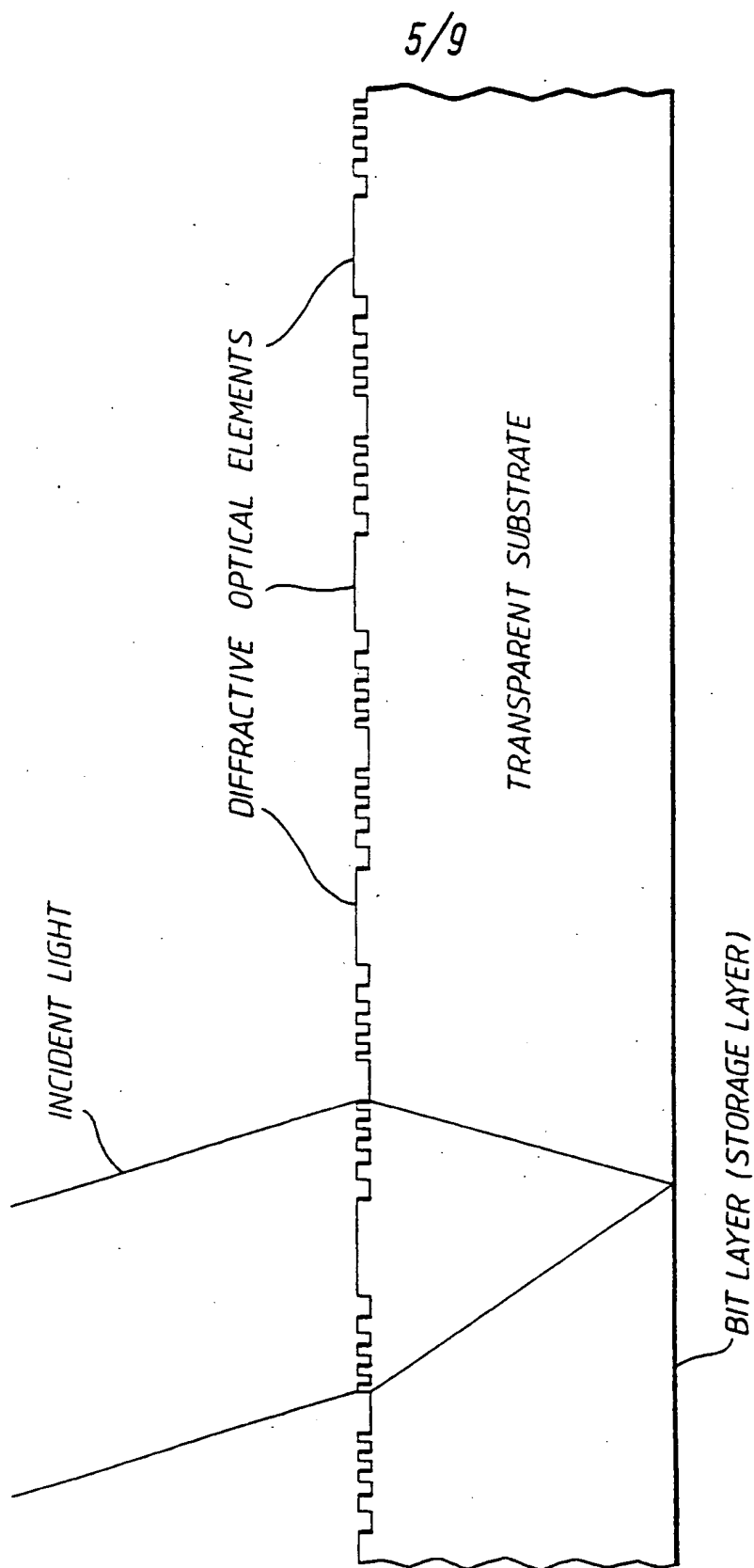


Fig. 6

6/9

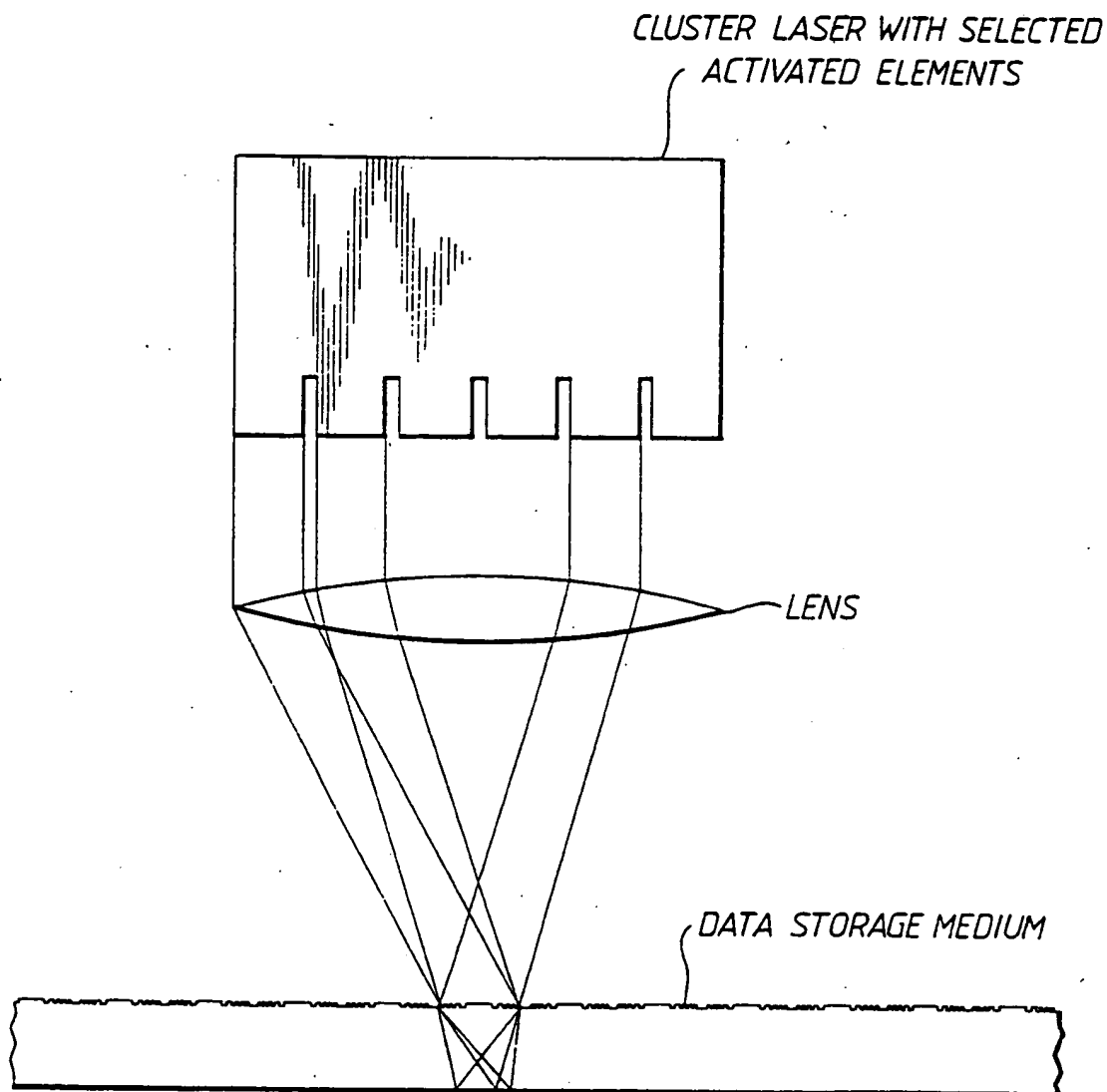


Fig. 7

7/9

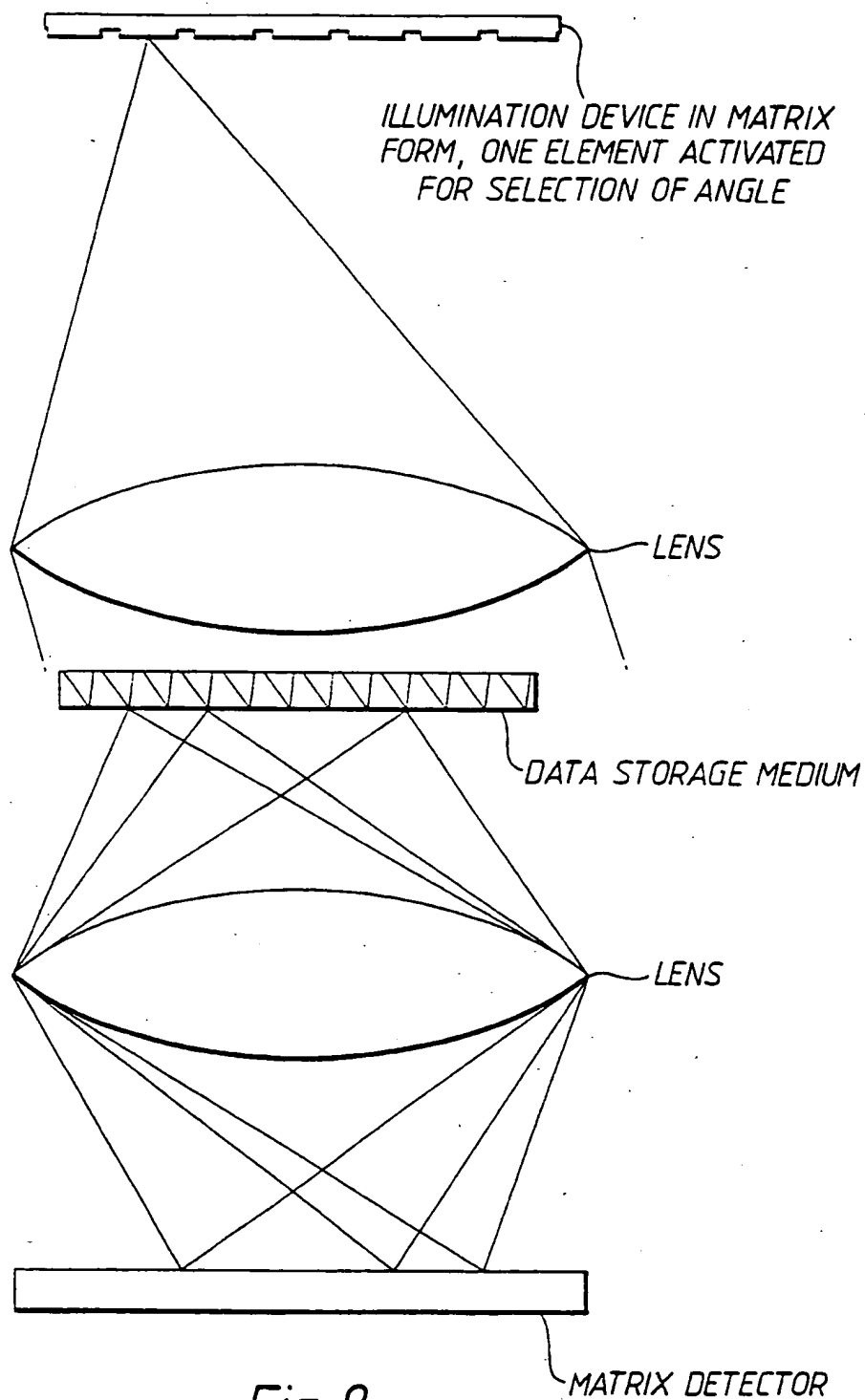


Fig. 8

8/9

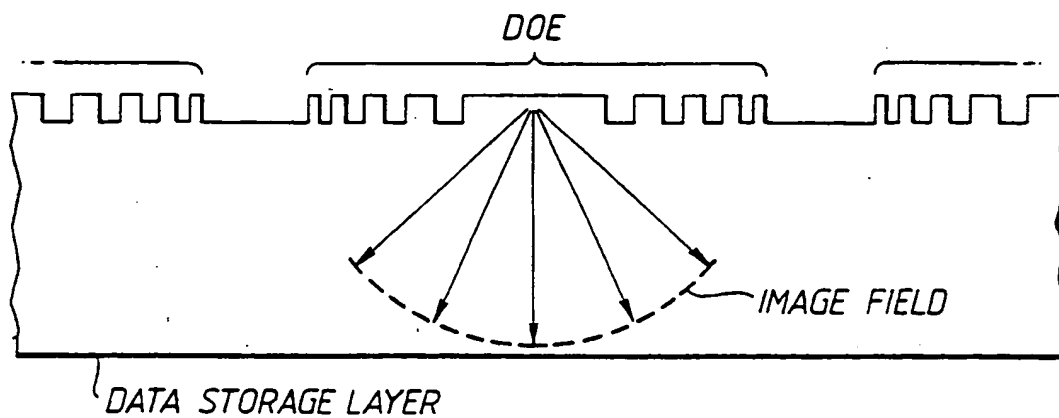


Fig. 9a

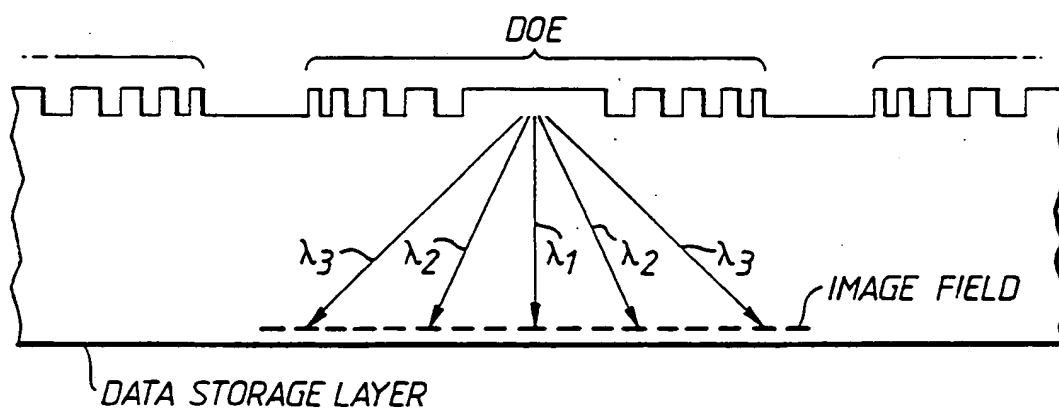


Fig. 9b

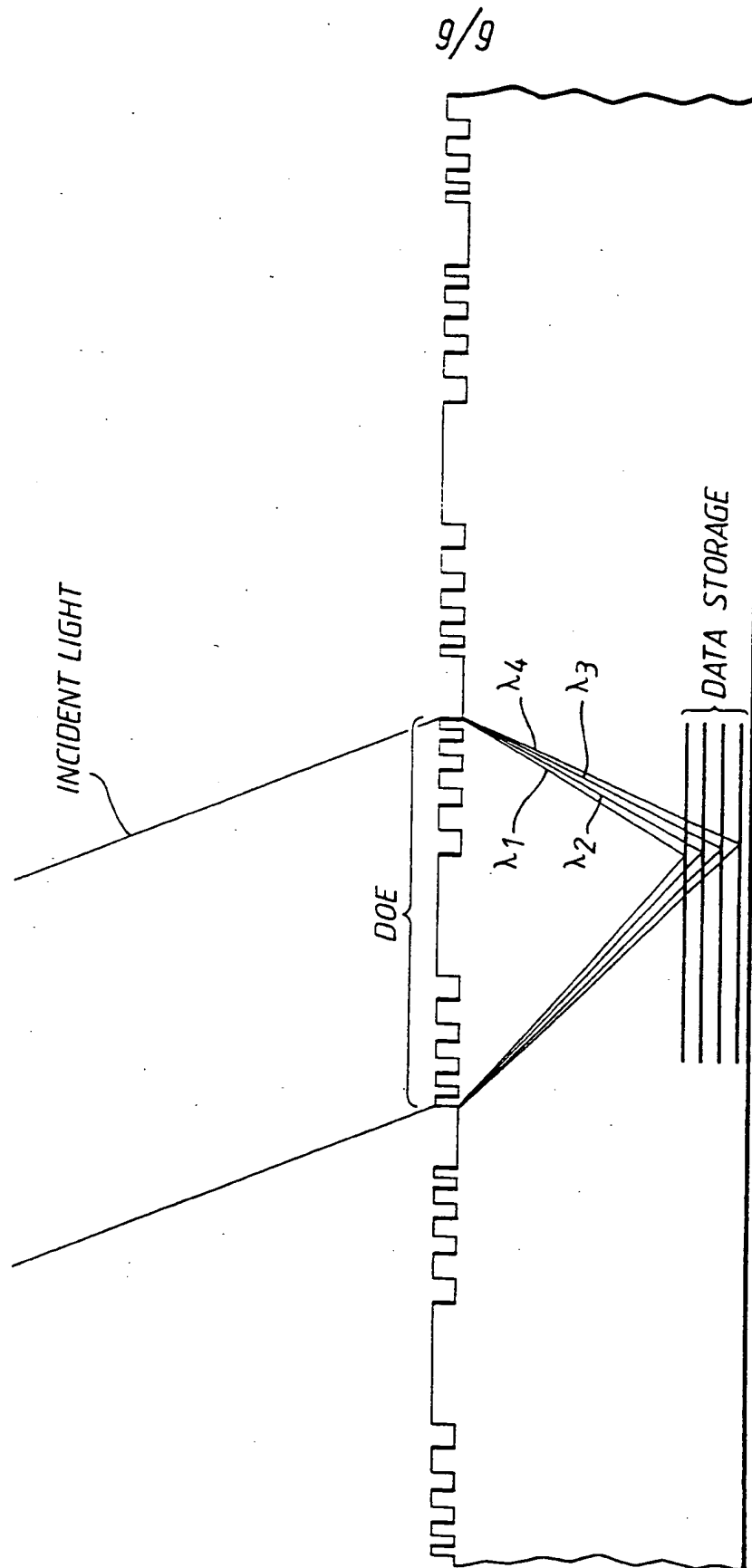


Fig.10

INTERNATIONAL SEARCH REPORT

International application No.

PCT/NO 96/00155

A. CLASSIFICATION OF SUBJECT MATTER

IPC6: G11C 13/04, G11B 7/00, G11B 7/24

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC6: G11B, G11C

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

CLAIMS

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 9313529 A1 (INFORMATION OPTICS CORPORATION), 8 July 1993 (08.07.93), page 24, line 18 - page 43, line 7; page 64, line 24 - page 72, line 12; page 105, line 15 - page 106, line 26 --	1-24
X	US 4633445 A (ROBERT A. SPRAGUE), 30 December 1986 (30.12.86), column 3, line 62 - column 9, line 22	1,8,13,17, 20-22
Y	--	3-7
X	US 5436871 A (JAMES T. RUSSELL), 25 July 1995 (25.07.95), column 5, line 28 - column 9, line 22	1,8,9,17, 20-22
Y	--	3-7

☒ Further documents are listed in the continuation of Box C.☒ See patent family annex.

* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

14 November 1996

Date of mailing of the international search report

15 -11- 1996

Name and mailing address of the ISA/

Swedish Patent Office

Box 5055, S-102 42 STOCKHOLM

Facsimile No. +46 8 666 02 86

Authorized officer

Bo Gustavsson

Telephone No. +46 8 782 25 00

INTERNATIONAL SEARCH REPORT

International application No.

PCT/NO 96/00155

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	SE 501106 C2 (PETER TOTH), 19 August 1993 (19.08.93), see the whole document --	1,10-16
A	WO 9516994 A2 (MARTIN MARIETTA CORPORATION), 22 June 1995 (22.06.95) -- -----	25

INTERNATIONAL SEARCH REPORT

Information on patent family members

28/10/96

International application No.

PCT/NO 96/00155

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO-A1- 9313529	08/07/93	AU-B- 668132	26/04/96
		AU-A- 3428693	28/07/93
		AU-A- 6072596	26/09/96
		CA-A- 2127101	08/07/93
		EP-A- 0619915	19/10/94
		JP-T- 7506448	13/07/95
		US-A- 5379266	03/01/95
		US-A- 5436871	25/07/95
		US-A- 5465238	07/11/95
		US-A- 5511035	23/04/96
		US-A- 5541888	30/07/96
US-A- 4633445	30/12/86	JP-A- 61144797	02/07/86
US-A- 5436871	25/07/95	AU-A- 2383595	09/02/96
		WO-A- 9602055	25/01/96
		AU-B- 668132	26/04/96
		AU-A- 3428693	28/07/93
		AU-A- 6072596	26/09/96
		CA-A- 2127101	08/07/93
		EP-A- 0619915	19/10/94
		JP-T- 7506448	13/07/95
		US-A- 5379266	03/01/95
		US-A- 5465238	07/11/95
		US-A- 5511035	23/04/96
		US-A- 5541888	30/07/96
		WO-A- 9313529	08/07/93
SE-C2- 501106	19/08/93	AU-B- 660220	15/06/95
		AU-A- 3581193	03/09/93
		CA-A- 2130345	19/08/93
		EP-A- 0627116	07/12/94
		JP-T- 7507175	03/08/95
		SE-A- 9200480	19/08/93
		US-A- 5479384	26/12/95
		WO-A- 9316476	19/08/93
WO-A2- 9516994	22/06/95	AU-A- 1432695	03/07/95
		EP-A- 0734574	02/10/96
		IL-D- 111916	00/00/00
		US-A- 5472759	05/12/95

This Page Blank (uspto)